# **CGFNS** INTERNATIONAL

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#### Right Touch Regulation & Best Practice Guidelines

World Health Professions Regulation Conference 2018

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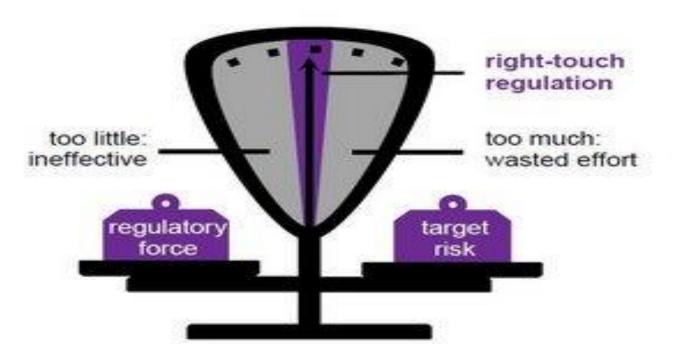
## What's Knocking at ¥<u>Our</u> Door?

- 1. Right touch regulation meaning, implications
- 2. Nurse migration
- 3. Trade agreements
- 4. Transformational Imperative
- 5. Opportunities



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#### **Right-Touch: Well-Balanced Approach**



Source: Professional Standards Authority, 2017.



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#### **The Continuum of Regulatory Practice**

|                      | Laissez-Faire                             | <b>Right-Touch Regulation</b>                          | Zero Tolerance   |
|----------------------|---|--|--|
| Dominant Thinking    | "Buyer knows best" and<br>"caveat emptor" | Quantify and qualify the risks<br>Focus on the outcome | "Small benefits that are certain<br>are better than large benefits<br>that are uncertain or carry risks" |
| Attributes           | Tolerance                                 | Adaptability   | Discipline/punitive action   |
| Methods of Promoting | De-regulation                             |  | Rules and regulations  |
| Needed to Work       | Market-like structures                    | Regulation only when necessary                         | Extensive system of codified rules, monitoring and intervention  |
| Engenders in Parties | Self-interest                             |  | Punishment and defensiveness   |



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Source: Professional Standards Authority, 2017.

#### Right-Touch Usage for Regulators & Credentialing Organizations

| <b>RIGHT-TOUCH REGULATION</b> | Regulators   | Credentialing Organizations   |
|-------------------------------|--|---|
| Targeted                      | Regulation should be focused on the problem, and minimize side effects | Assist in identifying opportunity                                       |
| Transparent                   | Regulations simple and user friendly                                   | Offer insights from dealing with applicants, institutions and countries |
| Agility                       | Adapt to anticipate change   | Offer feedback on impact of regulation                                  |
| Accountable                   | Justify decisions, and be subject to public scrutiny                   | Ensure requirements set by regulators are met                           |
| Consistent                    | Rules and standards implemented fairly                                 | Quality processes   |
| Proportionate                 | Intervention adequate to the risk posed                                | Offer opinion and expertise during interventions                        |



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Source: Professional Standards Authority, 2017.

Nurse mobility has increased the need for regulatory bodies to reach beyond their normal stakeholder groups so as to familiarize themselves with the legislation of other jurisdictions.



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#### **Nurse Migration – Regulatory Implications**

"Current legislation lacks precision and, within the context of increased mobility of nurses, there is an urgent need to develop an authoritative source of definitions that can contribute to increasing public safety as well as reducing delays in the freedom of movement of nurses from one jurisdiction to another."

Benton, David C.; Gonzalez-Jurado, Maximo; Beneit-Montesinos, Juan. 2013



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#### **Core Regulatory Tools Needed at Global Level**

- Scope of practice
- Standards (Education and Practice)
- Competencies basic, entry-level
- Accreditation schools, curricula (core)

...... moving toward harmonization ...... start with global guidelines



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### **Implications of Trade Agreements on Regulation**

- Meta-regulation (limits local authority)
- Requirements for endorsement or mutual recognition agreements
- Move to lowest common denominator
- Increased mobility



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### **Trade Agreements Emerging and Under Review**

- ASEAN
- NAFTA
- EU/Brexit/Bologna Process
- Caricom
- Tasman Agreement
- Trans-Pacific Partnership



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### **Transformational Imperative**

- Technological revolution
- Consumer-centric shift
- Changing demographics
- Social Media influence



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## Best Practice Characteristics of Credentialing Organizations

- Standards based practice
  - Evidence based practice standards built on multi-level regulation (government and professional)
- Quality processes based on a ISO 9001 framework that drives operational excellence
- Appeals process transparent, separate and distinct from investigatory process
  - Firewall between investigatory processes and appeals
- Regular and ongoing assessments by end-user customers
- Recognized authority external review for compliance and expected outcomes



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## Best Practice Characteristics of Credentialing Organizations

- Applicant centric (applicants' rights; public safety)
- Transparent systems and agile technology
- Culture of innovation and diversity of thought and language representative of the customers we serve
- Ethical practices based on code of ethics and values embedded across organization
- Strategic planning with identified goals and methods of implementation
- Right touch: situational analysis, solution based, least intrusive, best outcome



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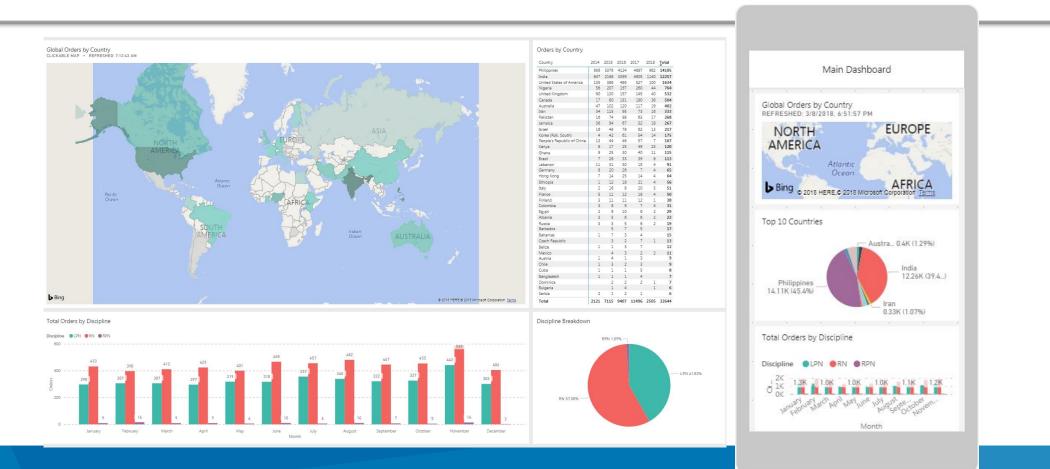
## Best Practice Characteristics of Credentialing Organizations

- Right sized Board
  - Appointed based on expertise
  - Conducts periodic performance self-evaluation
- Staff experts in their field of specialty
- Visionary and transformational leadership relationship centered
- Data mined for applicability to outcomes research
- Research conducted to advance science, education, profession and public policy formulation



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#### Data Analytics web/mobile examples



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# Opportunities

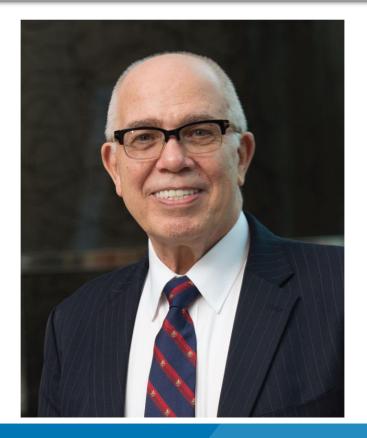
- Act and advocate together
- Inform with data and evidence
- Embrace change
- Build resiliency



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## **Thank You**

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